

# SEMI-STABLE MINIMAL MODEL PROGRAM FOR VARIETIES WITH TRIVIAL CANONICAL DIVISOR

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**ABSTRACT.** We give a sufficient condition for the termination of flips. Then we discuss a semi-stable minimal model program for varieties with (numerically) trivial canonical divisor as an application. We also treat a slight refinement of dlt blow-ups.

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## 1. INTRODUCTION

In this paper, we give a sufficient condition for the termination of flips. For the precise statement, see Theorem 2.3. By using this criterion: Theorem 2.3, we prove the following theorem, which is a semi-stable minimal model program for varieties with trivial canonical divisor. It was inspired by Yoshinori Gongyo's paper [G2] and Daisuke Matsushita's seminar talk on May 21, 2010 in Kyoto.

**Theorem 1.1** (Semi-stable minimal model program for varieties with trivial canonical divisor). *Let  $f : X \rightarrow Y$  be a proper surjective morphism from a smooth quasi-projective variety  $X$  to a smooth quasi-projective curve  $Y$  with connected fibers. Let  $P \in Y$  be a point. Assume that  $f^*P$  is a reduced simple normal crossing divisor on  $X$  and*

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$f$  is smooth over  $Y \setminus P$ . We further assume that  $K_{f^{-1}Q} \sim 0$  for every  $Q \in Y \setminus P$ . Then there exists a sequence of flips and divisorial contractions

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_k \dashrightarrow \cdots \dashrightarrow X_m$$

over  $Y$  such that  $K_{X_m} \sim_Y 0$ . We note that  $X_m$  has only  $\mathbb{Q}$ -factorial terminal singularities. Moreover, the special fiber  $S = f_m^{-1}P = f_m^*P$  of  $f_m : X_m \rightarrow Y$  is Gorenstein, semi divisorial log terminal, and  $K_S \sim 0$ .

For the definition of *semi divisorial log terminal*, see [F1, Definition 1.1]. For the proof of the termination of 4-dimensional semi-stable log flips, see [F2]. Theorem 1.1 can be applied to semi-stable degenerations of Abelian varieties, Calabi-Yau varieties, and so on. From the minimal model theoretic viewpoint, the following theorem is a natural formulation of uniruled degenerations of varieties with numerically trivial canonical divisor (cf. [T, Theorem 1.1]).

**Theorem 1.2** (Semi-stable minimal model program for varieties with numerically trivial canonical divisor). *Let  $f : X \rightarrow Y$  be a proper surjective morphism from a smooth quasi-projective variety  $X$  to a smooth quasi-projective curve  $Y$  with connected fibers. Let  $P \in Y$  be a point. Assume that  $f^*P$  is a reduced simple normal crossing divisor on  $X$  and  $f$  is smooth over  $Y \setminus P$ . We further assume that  $K_{f^{-1}Q} \equiv 0$ , equivalently,  $K_{f^{-1}Q} \sim_{\mathbb{Q}} 0$ , for every  $Q \in Y \setminus P$ . Then there exists a sequence of flips and divisorial contractions*

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_k \dashrightarrow \cdots \dashrightarrow X_m$$

over  $Y$  such that  $K_{X_m} \sim_{\mathbb{Q}, Y} 0$ . We note that  $X_m$  has only  $\mathbb{Q}$ -factorial terminal singularities. Moreover, the special fiber  $S = f_m^{-1}P = f_m^*P$  of  $f_m : X_m \rightarrow Y$  is semi divisorial log terminal and  $K_S \sim_{\mathbb{Q}} 0$ . Therefore, if  $S$  is reducible, then every irreducible component of  $S$  is uniruled. If  $S$  is irreducible, then  $S$  is uniruled if and only if  $S$  is not canonical.

In this paper, we prove Theorem 1.1 and Theorem 1.2 as applications of the following theorem.

**Theorem 1.3.** *Let  $(X, \Delta)$  be a  $\mathbb{Q}$ -factorial quasi-projective divisorial log terminal pair and let  $f : X \rightarrow Y$  be a proper surjective morphism onto a smooth quasi-projective curve  $Y$  with connected fibers. Assume that  $(K_X + \Delta)|_F \sim_{\mathbb{Q}} 0$  for a general fiber  $F$  of  $f$ . Then there exists a sequence of flips and divisorial contractions*

$$\begin{aligned} (X, \Delta) &= (X_0, \Delta_0) \dashrightarrow (X_1, \Delta_1) \dashrightarrow \cdots \\ &\dashrightarrow (X_k, \Delta_k) \dashrightarrow \cdots \dashrightarrow (X_m, \Delta_m) \end{aligned}$$

over  $Y$  such that  $K_{X_m} + \Delta_m \sim_{\mathbb{Q}, Y} 0$  where  $\Delta_k$  is the pushforward of  $\Delta$  on  $X_k$  for every  $k$ .

**Remark 1.4.** It is known that  $(K_X + \Delta)|_F \sim_{\mathbb{Q}} 0$  if and only if  $(K_X + \Delta)|_F \equiv 0$ . See, for example, [CKP, Theorem 1] and [G2, Theorem 1.2].

We can also prove the following theorem as an application of Theorem 1.3. We recommend the reader to compare it with Kodaira's classification of elliptic fibrations (cf. [BPV, V. Examples]).

**Theorem 1.5** (cf. [T, Theorem 1.1]). *Let  $f : X \rightarrow Y$  be a proper surjective morphism from a smooth quasi-projective variety  $X$  to a smooth quasi-projective curve  $Y$  with connected fibers. Let  $P \in Y$  be a point. Assume that  $\text{Supp} f^*P$  is a simple normal crossing divisor on  $X$  and  $f$  is smooth over  $Y \setminus P$ . We further assume that  $K_{f^{-1}Q} \equiv 0$ , equivalently,  $K_{f^{-1}Q} \sim_{\mathbb{Q}} 0$ , for every  $Q \in Y \setminus P$ . Then there exists a sequence of flips and divisorial contractions*

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_k \dashrightarrow \cdots \dashrightarrow X_m$$

*over  $Y$  such that  $X_m$  has only  $\mathbb{Q}$ -factorial terminal singularities and  $K_{X_m} \sim_{\mathbb{Q}, Y} 0$ . Let  $S = \text{Supp} f_m^*P$  be the special fiber of  $f_m : X_m \rightarrow Y$ . If  $S$  is reducible, then every irreducible component of  $S$  is uniruled. If  $S$  is irreducible, then  $S$  is normal and has only canonical singularities if and only if  $S$  is not uniruled. We note that  $K_S \sim_{\mathbb{Q}} 0$  when  $S$  is irreducible and has only canonical singularities.*

By combining Theorem 1.3 with [L, Proposition 2.7], we obtain the following result.

**Corollary 1.6.** *Let  $f : X \rightarrow Y$  be a projective surjective morphism from a smooth quasi-projective variety  $X$  onto a smooth quasi-projective curve  $Y$  with connected fibers. Assume that the general fiber  $F$  of  $f$  has a good minimal model and  $\kappa(F) = 0$ , where  $\kappa(F)$  is the Kodaira dimension of  $F$ . Then there exists a sequence of flips and divisorial contractions*

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_k \dashrightarrow \cdots \dashrightarrow X_m$$

*over  $Y$  such that  $K_{X_m} \sim_{\mathbb{Q}, Y} 0$ .*

**Remark 1.7.** By [D, Corollaire 3.4],  $F$  has a good minimal model with  $\kappa(F) = 0$  if and only if  $\kappa_\sigma(F) = 0$ , where  $\kappa_\sigma(F)$  is the numerical Kodaira dimension in the sense of Nakayama. See also [G2, Theorem 1.2].

Finally, in Section 4, we treat a slight refinement of *dlt blow-ups* (cf. Theorem 4.1) as an application of our criterion for the termination of flips: Theorem 2.3, which generalizes [Ko, 17.10 Theorem] and

[BCHM, Corollary 1.4.3]. We will use Theorem 4.1 in the proofs of Theorem 1.2 and Theorem 1.5.

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**Notation.** Let  $X$  be a normal variety and let  $D = \sum_i a_i D_i$  be an  $\mathbb{R}$ -divisor on  $X$ , where  $D_i$  is a prime divisor and  $a_i \in \mathbb{R}$  for every  $i$  and  $D_i \neq D_j$  for every  $i \neq j$ . In this case,  $D$  is called  *$\mathbb{R}$ -boundary* if and only if  $0 \leq a_i \leq 1$  for every  $i$ .

Let  $f : X \rightarrow Y$  be a proper morphism of normal algebraic varieties. Two  $\mathbb{Q}$ -divisors  $D_1$  and  $D_2$  on  $X$  are  *$\mathbb{Q}$ -linearly equivalent over  $Y$* , denoted by  $D_1 \sim_{\mathbb{Q}, Y} D_2$ , if their difference is a  $\mathbb{Q}$ -linear combination of principal divisors and a  $\mathbb{Q}$ -Cartier divisor pulled back from  $Y$ .

Let  $X$  be a normal variety and let  $\Delta$  be an  $\mathbb{R}$ -divisor on  $X$  such that  $K_X + \Delta$  is  $\mathbb{R}$ -Cartier. Let  $E$  be a divisor over  $X$ . Then the *discrepancy coefficient* of  $E$  with respect to  $(X, \Delta)$  is denoted by  $a(E, X, \Delta)$ .

We work over  $\mathbb{C}$ , the complex number field, throughout this paper. We freely use the standard terminology on the log minimal model program in [BCHM] and [KM].

## 2. EASY TERMINATION LEMMA

In this section, we give a sufficient condition for the termination of flips. First, let us recall the definitions of *movable divisors* and the *movable cone*.

**Definition 2.1** (Movable divisors and movable cone). Let  $f : X \rightarrow Y$  be a projective morphism of normal algebraic varieties. A Cartier divisor  $D$  on  $X$  is called  *$f$ -movable* if  $f_* \mathcal{O}_X(D) \neq 0$  and if the cokernel of the natural homomorphism  $f^* f_* \mathcal{O}_X(D) \rightarrow \mathcal{O}_X(D)$  has a support of codimension  $\geq 2$ .

Let  $M$  be an  $\mathbb{R}$ -Cartier  $\mathbb{R}$ -divisor on  $X$ . Then  $M$  is called  *$f$ -movable* if and only if  $M = \sum_i a_i D_i$  where  $a_i$  is a positive real number and  $D_i$  is an  $f$ -movable Cartier divisor for every  $i$ .

We define  $\overline{\text{Mov}}(X/Y)$  as the closed convex cone in  $N^1(X/Y)$ , which is called the *movable cone* of  $f : X \rightarrow Y$ , generated by the classes of  $f$ -movable Cartier divisors.

Let us recall the minimal model program with scaling (cf. [BCHM, 3.10], [B, Definition 3.2], and [F4, Theorem 18.9]).

**2.2** (Minimal model program with scaling). Let  $(X, \Delta)$  be a  $\mathbb{Q}$ -factorial dlt pair such that  $\Delta$  is an  $\mathbb{R}$ -divisor and let  $f : X \rightarrow Y$  be a projective surjective morphism between quasi-projective varieties. Let  $H$  be an effective  $\mathbb{R}$ -divisor on  $X$  such that  $(X, \Delta + H)$  is divisorial log terminal,  $K_X + \Delta + H$  is  $f$ -nef, and the relative augmented base locus  $\mathbf{B}_+(H/Y)$  (cf. [BCHM, Definition 3.5.1]) contains no lc centers of  $(X, \Delta)$ . We run the  $(K_X + \Delta)$ -minimal model program with scaling of  $H$  over  $Y$ . We obtain a sequence of divisorial contractions and flips

$$(X, \Delta) = (X_0, \Delta_0) \dashrightarrow (X_1, \Delta_1) \dashrightarrow \cdots \dashrightarrow (X_k, \Delta_k) \dashrightarrow \cdots$$

over  $Y$ . We note that

$$\lambda_i = \inf\{t \in \mathbb{R} \mid K_{X_i} + \Delta_i + tH_i \text{ is nef over } Y\},$$

where  $H_i$  (resp.  $\Delta_i$ ) is the pushforward of  $H$  (resp.  $\Delta$ ) on  $X_i$  for every  $i$ . By the definition,  $0 \leq \lambda_i \leq 1$  and  $\lambda_i \in \mathbb{R}$  for every  $i$  and

$$\lambda_0 \geq \lambda_1 \geq \cdots \geq \lambda_k \geq \cdots.$$

We also note that the relative augmented base locus  $\mathbf{B}_+(H_i/Y)$  contains no lc centers of  $(X_i, \Delta_i)$  for every  $i$  (cf. [BCHM, Lemma 3.10.11]).

The following theorem is the main result of this section.

**Theorem 2.3** (Easy termination lemma). *Under the same notation as in 2.2, we assume that  $H$  is big over  $Y$ , every step of the  $(K_X + \Delta)$ -minimal model program is a flip, and  $K_X + \Delta \notin \overline{\text{Mov}}(X/Y)$ . Then it terminates after finitely many steps.*

*Proof.* We assume that the sequence does not terminate. First we assume that

$$\lambda = \lim_{i \rightarrow \infty} \lambda_i > 0.$$

In this case, the sequence of flips we consider is a sequence of  $(K_X + \Delta + \frac{1}{2}\lambda H)$ -flips. We note that there exists an effective  $\mathbb{R}$ -divisor  $B$  on  $X$  such that  $\Delta + \frac{1}{2}\lambda H \sim_{\mathbb{R}} B$ ,  $(X, B)$  is klt,  $K_X + B + (1 - \frac{1}{2}\lambda)H$  is  $f$ -nef,  $(X, B + (1 - \frac{1}{2}\lambda)H)$  is klt, and  $B$  is big over  $Y$  (cf. [BCHM, Lemma 3.7.3] and [G2, Lemma 5.1]). Therefore there are no infinite sequences of flips by [BCHM, Corollary 1.4.2]. It is a contradiction. Thus we can assume that  $\lambda = 0$ . Under the assumption that  $\lambda = 0$ , we will show that  $K_X + \Delta \in \overline{\text{Mov}}(X/Y)$ . Let  $G_i$  be a relative ample  $\mathbb{Q}$ -divisor on  $X_i$  such that  $G_{iX} \rightarrow 0$  in  $N^1(X/Y)$  for  $i \rightarrow \infty$  where  $G_{iX}$  is the strict transform of  $G_i$  on  $X$ . We note that  $K_{X_i} + \Delta_i + \lambda_i H_i + G_i$  is ample over  $Y$  for every  $i$ . Therefore the strict transform  $K_X + \Delta + \lambda_i H + G_{iX}$

is movable on  $X$  for every  $i$ . Thus  $K_X + \Delta$  is a limit of movable  $\mathbb{R}$ -divisors in  $N^1(X/Y)$ . So  $K_X + \Delta \in \overline{\text{Mov}}(X/Y)$ . It is a contradiction. Therefore the sequence of flips terminates after finitely many steps.  $\square$

### 3. PROOFS

In this section, we will prove various results stated in Section 1 as applications of Theorem 2.3.

*Proof of Theorem 1.3.* Before we run the minimal model program with scaling, we note the following easy observation.

**Step 1** (cf. [FM, Proposition 4.2]). Let  $m$  be a positive integer such that  $m(K_X + \Delta)$  is Cartier and  $m(K_X + \Delta)|_F \sim 0$  where  $F$  is the generic fiber of  $f$ . Then we have a natural injection

$$0 \rightarrow f^*f_*\mathcal{O}_X(m(K_X + \Delta)) \rightarrow \mathcal{O}_X(m(K_X + \Delta))$$

because  $f_*\mathcal{O}_X(m(K_X + \Delta))$  is torsion-free and  $Y$  is a smooth curve. Therefore, there is a  $\mathbb{Q}$ -divisor  $D$  on  $Y$  and an effective  $\mathbb{Q}$ -divisor  $B$  on  $X$  such that  $B$  is vertical with respect to  $f$ ,

$$K_X + \Delta \sim_{\mathbb{Q}} f^*D + B,$$

and  $\text{Supp}B$  does not contain any fibers of  $f$ . We note that  $K_X + \Delta$  is  $f$ -nef if and only if  $B = 0$ , equivalently,  $K_X + \Delta \sim_{\mathbb{Q}, Y} 0$  (cf. [BPV, III. (8.2) Lemma]).

**Step 2.** We take an effective  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor  $H$  on  $X$  such that  $H$  is big,  $(X, \Delta + H)$  is dlt,  $K_X + \Delta + H$  is nef over  $Y$ , and  $\mathbf{B}_+(H/Y)$  contains no lc centers of  $(X, \Delta)$ . We run the  $(K_X + \Delta)$ -minimal model program with scaling of  $H$  over  $Y$  as in 2.2. Since divisorial contractions can occur only finitely many times, we can assume that every step is a flip. Since  $B \not\sim_{\mathbb{Q}, Y} 0$ , we can find an irreducible component  $E$  of  $\text{Supp}B$  such that

$$B \cdot A^{n-2} \cdot E < 0.$$

where  $n = \dim X$  and  $A$  is an  $f$ -ample Cartier divisor on  $X$ . This is essentially Zariski's lemma (cf. [BPV, III. (8.2) Lemma]). Thus

$$(K_X + \Delta) \cdot A^{n-2} \cdot E < 0.$$

Assume that  $K_X + \Delta \in \overline{\text{Mov}}(X/Y)$ . Then

$$(K_X + \Delta) \cdot A^{n-2} \cdot E \geq 0.$$

Therefore,  $K_X + \Delta \notin \overline{\text{Mov}}(X/Y)$ . Thus the  $(K_X + \Delta)$ -minimal model program terminates by Theorem 2.3.

**Step 3.** On the output  $X_m$  of the minimal model program,  $K_{X_m} + \Delta_m \sim_{\mathbb{Q}, Y} B_m$  where  $B_m$  is the pushforward of  $B$  on  $X_m$ . Since  $B_m$  is nef over  $Y$ ,  $B_m \sim_{\mathbb{Q}, Y} 0$  (cf. [BPV, III. (8.2) Lemma]). Therefore,  $K_{X_m} + \Delta_m \sim_{\mathbb{Q}, Y} 0$ .

We complete the proof of Theorem 1.3.  $\square$

**Remark 3.1.** Let  $f : (X, \Delta) \rightarrow Y$  be a projective dlt morphism from a  $\mathbb{Q}$ -factorial dlt pair  $(X, \Delta)$  (cf. [KM, Definition 7.1]). Assume that  $K_X + \Delta$  is  $f$ -nef over a non-empty Zariski open set  $U \subset Y$ . Then the special termination (see, for example, [F3, Theorem 4.2.1]) implies that any sequence of flips in the  $(K_X + \Delta)$ -minimal model program over  $Y$  must terminate. We note that the special termination has been proved only in dimension  $\leq 4$  (see, for example, [F3, Theorem 4.2.1]).

Let us prove Theorems 1.1, 1.2, 1.5, and Corollary 1.6.

*Proof of Theorem 1.1.* By the assumptions,  $f : X \rightarrow Y$  is a dlt morphism (cf. [KM, Definition 7.1]). By applying Theorem 1.3, we obtain a relative minimal model  $f_m : X_m \rightarrow Y$  of  $f : X \rightarrow Y$ . We see that  $f_m : X_m \rightarrow Y$  is automatically a dlt morphism. We note that  $X_m$  is  $\mathbb{Q}$ -factorial and has only terminal singularities. By adjunction,

$$(K_{X_m} + S)|_S = K_S$$

and  $S$  is semi divisorial log terminal because  $(X_m, S)$  is dlt (cf. [F1, Remark 1.2 (3)]). By considering the following natural injection

$$0 \rightarrow f^* f_* \mathcal{O}_{X_m}(K_{X_m}) \rightarrow \mathcal{O}_{X_m}(K_{X_m}),$$

which is also surjective outside the special fiber  $S$ , as in Step 1 in the proof of Theorem 1.3, we obtain  $K_{X_m} \sim 0$  because  $K_{X_m}$  is nef over  $Y$ . In particular,  $K_S \sim 0$  by adjunction.  $\square$

*Proof of Theorem 1.2.* The proof of Theorem 1.1 works in this setting. If  $S$  is reducible, semi divisorial log terminal, and  $K_S \sim_{\mathbb{Q}} 0$ , then we will show that every irreducible component of  $S$  is uniruled. Let  $S_0$  be an irreducible component of  $S$ . Then  $K_{S_0} + \Theta \sim_{\mathbb{Q}} 0$  with an effective  $\mathbb{Q}$ -divisor  $\Theta \neq 0$  because  $S$  is connected. Therefore,  $S_0$  is uniruled by [MM, Corollary 2]. From now on, we assume that  $S$  is irreducible. If  $S$  has only canonical singularities, then  $S$  is not uniruled because  $K_S \sim_{\mathbb{Q}} 0$ . If  $S$  is not canonical, then we take a dlt blow-up (cf. Theorem 4.1) and obtain a birational morphism  $\varphi : T \rightarrow S$  from a normal projective variety  $T$  such that  $K_T = \varphi^* K_S - E$  where  $E$  is effective and  $E \neq 0$ . Therefore,  $K_T \sim_{\mathbb{Q}} -E \neq 0$ . Thus  $T$  is uniruled by [MM, Corollary 2]. It implies that  $S$  is uniruled.  $\square$

*Proof of Theorem 1.5.* The former part follows from Theorem 1.3. We will check the latter part. We assume that  $S$  is reducible. Let  $E$  be any irreducible component of  $S$ , and let  $\varepsilon$  be a sufficiently small positive rational number. Apply Theorem 1.3 to  $(X, \varepsilon E)$  over  $Y$ . Then it is easy to see that the divisor  $E$  must be contracted in this minimal model program. Therefore  $E$  is uniruled by [KMM, Proposition 5-1-8]. From now on, we assume that  $S$  is irreducible. It is sufficient to see that  $S$  is uniruled when  $S$  is not canonical. First we assume that  $S$  is normal. Then we take a dlt blow-up  $\varphi : T \rightarrow S$  (cf. Theorem 4.1). We can write  $K_T = \varphi^* K_S - E$  such that  $E \neq 0$  is effective. Therefore,  $T$  is uniruled by [MM, Corollary 2] because  $K_T \sim_{\mathbb{Q}} -E \neq 0$ . Thus  $S$  is uniruled. Next we assume that  $S$  is not normal. Let  $\nu : S^\nu \rightarrow S$  be the normalization. Then

$$K_{S^\nu} + \Theta = \nu^* K_S \sim_{\mathbb{Q}} 0$$

such that  $\Theta$  is effective and  $\Theta \neq 0$ . We note that  $S$  is Cohen–Macaulay since  $X$  is Cohen–Macaulay and  $S$  is  $\mathbb{Q}$ -Cartier (cf. [KM, Corollary 5.25]). Therefore,  $S^\nu$  is uniruled by [MM, Corollary 2]. Thus  $S$  is uniruled. Anyway,  $S$  is not uniruled if and only if  $S$  has only canonical singularities.  $\square$

*Proof of Corollary 1.6.* Let  $H$  be a general effective  $f$ -big divisor on  $X$  such that  $K_X + H$  is  $f$ -nef and  $(X, H)$  is dlt. We run the minimal model program with scaling of  $H$  over  $Y$ . Then, by [L, Proposition 2.7], we can assume that the general fiber of  $f : X \rightarrow Y$  is a good minimal model. By Theorem 1.3, this minimal model program terminates after finitely many steps.  $\square$

#### 4. DLT BLOW-UPS

In this section, we will give a slight refinement of [Ko, 17.10 Theorem] and [BCHM, Corollary 1.4.3] as an application of Theorem 2.3. See also [F4, §10].

**Theorem 4.1** (Dlt blow-ups). *Let  $X$  be a normal quasi-projective variety and let  $\Delta$  be an  $\mathbb{R}$ -boundary divisor on  $X$  such that  $K_X + \Delta$  is  $\mathbb{R}$ -Cartier. Let  $f : W \rightarrow X$  be a resolution such that  $\text{Exc}(f) \cup \text{Supp} f_*^{-1} \Delta$  is a simple normal crossing divisor on  $W$  where  $\text{Exc}(f)$  is the exceptional locus of  $f$ . Let  $\mathcal{E}$  be a subset of the  $f$ -exceptional divisors  $\{E_i\}$  with the following properties:*

- *If  $a(E_i, X, \Delta) \leq -1$ , then  $E_i \in \mathcal{E}$ .*
- *If  $E_i \in \mathcal{E}$ , then  $a(E_i, X, \Delta) \leq 0$ .*



Then there is a factorization

$$f : W \xrightarrow{h} Z \xrightarrow{g} X$$

with the following properties:

- (a)  $h$  is a local isomorphism at every generic point of  $E_i \in \mathcal{E}$ ,
- (b)  $h$  contracts every exceptional divisor not in  $\mathcal{E}$ ,
- (c) we have

$$\begin{aligned} & h_*(K_W + f_*^{-1}\Delta + \sum_{a_i \geq -1} -a_i E_i + \sum_{a_i < -1} E_i) \\ &= K_Z + g_*^{-1}\Delta + \sum_{E_i \in \mathcal{E}, a_i \geq -1} -a_i h_* E_i + \sum_{a_i < -1} h_* E_i \\ &= g^*(K_X + \Delta) + \sum_{a_i < -1} (a_i + 1) h_* E_i, \end{aligned}$$

where  $a_i = a(E_i, X, \Delta)$ , and

- (d) the pair

$$(Z, g_*^{-1}\Delta + \sum_{E_i \in \mathcal{E}, a_i \geq -1} -a_i h_* E_i + \sum_{a_i < -1} h_* E_i)$$

is a  $\mathbb{Q}$ -factorial dlt pair.

In particular, if  $(X, \Delta)$  is log canonical, then

$$(Z, g_*^{-1}\Delta + \sum_{E_i \in \mathcal{E}, a_i \geq -1} -a_i h_* E_i)$$

is dlt and

$$K_Z + g_*^{-1}\Delta + \sum_{E_i \in \mathcal{E}, a_i \geq -1} -a_i h_* E_i = g^*(K_X + \Delta).$$

*Proof.* For a small  $\varepsilon > 0$ , we put

$$d(E_i) = \begin{cases} 1 & \text{if } a(E_i, X, \Delta) < -1 \\ -a(E_i, X, \Delta) & \text{if } E_i \in \mathcal{E}, a(E_i, X, \Delta) \geq -1 \\ \max\{-a(E_i, X, \Delta) + \varepsilon, 0\} & \text{if } E_i \notin \mathcal{E}. \end{cases}$$

We take a general effective Cartier divisor  $H$  on  $Z$  such that  $(W, f_*^{-1}\Delta + \sum d(E_i)E_i + H)$  is dlt and that  $K_W + f_*^{-1}\Delta + \sum d(E_i)E_i + H$  is  $f$ -nef. We run the  $(K_W + f_*^{-1}\Delta + \sum d(E_i)E_i)$ -minimal model program with scaling of  $H$  over  $X$ . We note that

$$\begin{aligned} & K_W + f_*^{-1}\Delta + \sum d(E_i)E_i \\ &= f^*(K_X + \Delta) + \sum_{E_i \notin \mathcal{E}} (d(E_i) + a_i)E_i + \sum_{a_i < -1} (1 + a_i)E_i. \end{aligned}$$

Since divisorial contractions can occur finitely many times, we can assume that every step of the minimal model program is a flip. We put

$$E = \sum_{E_i \notin \mathcal{E}} (d(E_i) + a_i) E_i + \sum_{a_i < -1} (1 + a_i) E_i.$$

Then  $E$  is exceptional over  $X$ . We assume that  $\sum_{E_i \notin \mathcal{E}} (d(E_i) + a_i) E_i \neq 0$ . Then  $E \notin \overline{\text{Mov}}(W/X)$  by Lemma 4.2 below. Therefore, any sequence of flips terminates after finitely many steps by Theorem 2.3. However,  $E$  can not become nef over  $X$  by flips since  $-E$  is not effective. It is a contradiction. Therefore,  $\sum_{E_i \notin \mathcal{E}} (d(E_i) + a_i) E_i = 0$ . It completes the proof.  $\square$

The lemma below is a variant of the well-known negativity lemma.

**Lemma 4.2.** *Let  $f : X \rightarrow Y$  be a birational morphism from a normal  $\mathbb{Q}$ -factorial algebraic variety  $X$ . Let  $E$  be an  $\mathbb{R}$ -divisor on  $X$  such that  $\text{Supp} E$  is  $f$ -exceptional and  $E \in \overline{\text{Mov}}(X/Y)$ . Then  $-E$  is effective.*

*Proof.* We write  $E = E_+ - E_-$  such that  $E_+$  and  $E_-$  have no common irreducible components and that  $E_+ \geq 0$  and  $E_- \geq 0$ . We assume that  $E_+ \neq 0$ . Let  $A$  (resp.  $H$ ) be an ample Cartier divisor on  $Y$  (resp.  $X$ ). Then we can find an irreducible component  $E_0$  of  $E_+$  such that

$$E_0 \cdot (f^* A)^k \cdot H^{n-k-2} \cdot E < 0$$

where  $\dim X = n$  and  $\text{codim}_Y f(E_+) = k$ . On the other hand,

$$E_0 \cdot (f^* A)^k \cdot H^{n-k-2} \cdot E \geq 0$$

if  $E \in \overline{\text{Mov}}(X/Y)$ . It is a contradiction. Therefore,  $-E$  is effective.  $\square$

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